



18351 U.S. PTO

Please type a plus sign (+) inside this box →



PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.		Total Pages	
	First Named Inventor or Application Identifier David K. Williams			
	Express Mail Label No.			

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
---	---

1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	6. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification Total Pages 13 (preferred arrangement set forth below) -Descriptive -Cross References to Related Application -Statement Regarding Fed sponsored R & D -Reference to Microfiche Appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure	7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Sheets 9 Total Pages 9	ACCOMPANYING APPLICATION PARTS 8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (where there is an assignee) 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) 14. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application Statement(s) Status still proper and desired 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Other PTO-2038
4. Oath or Declaration a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	
5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	
17. <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: _____	
18. CORRESPONDENCE ADDRESS <input type="checkbox"/> Customer Number or Bar Code Label <input type="checkbox"/> Correspondence address below (Insert Customer No. or Attach bar code label here)	

NAME	David K. Williams		
ADDRESS	12290 Highway 181 South, #19		
CITY	San Antonio	STATE	Texas
COUNTRY	USA	TELEPHONE	(210) 633-3203
		ZIP CODE	78223
		Fax	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450; Mail Stop Patent Application, Alexandria, Virginia 22313-1450.

22278 U.S. PTO
10/767610



012904

The PTO did not receive the following listed item(s) the post card

FEE TRANSMITTAL		Complete if Known	
		Applicant Number	Unassigned
		Filing Date	Herewith
		First Named Inventor	David K. Williams
		Group Art Unit	Unassigned
Examiner Name		Unassigned	
TOTAL AMOUNT OF PAYMENT		(\$) 385.00	
		Attorney Docket Number	

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																															
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number _____</p> <p>Deposit Account Name _____</p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.31(b)</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table><thead><tr><th>Large Fee Code</th><th>Entity Fee (\$)</th><th>Small Fee Code</th><th>Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>—</td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing or cover sheet</td><td>—</td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td>—</td></tr><tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td>—</td></tr><tr><td>112</td><td>920</td><td>112</td><td>920</td><td>Requesting publication of SIR prior to Examiner action</td><td>—</td></tr><tr><td>113</td><td>1,840</td><td>113</td><td>1,840</td><td>Requesting publication of SIR after Examiner action</td><td>—</td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for response within first month</td><td>—</td></tr><tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for response within second month</td><td>—</td></tr><tr><td>117</td><td>950</td><td>217</td><td>475</td><td>Extension for response within third month</td><td>—</td></tr><tr><td>118</td><td>1,570</td><td>218</td><td>755</td><td>Extension for response within fourth month</td><td>—</td></tr><tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td>—</td></tr><tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td>—</td></tr><tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td>—</td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td>—</td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive unavoidably abandoned application</td><td>—</td></tr><tr><td>141</td><td>1,320</td><td>241</td><td>660</td><td>Petition to revive unintentionally abandoned application</td><td>—</td></tr><tr><td>142</td><td>1,320</td><td>242</td><td>660</td><td>Utility issue fee (or reissue)</td><td>—</td></tr><tr><td>143</td><td>450</td><td>243</td><td>225</td><td>Design issue fee</td><td>—</td></tr><tr><td>144</td><td>670</td><td>244</td><td>335</td><td>Plant issue fee</td><td>—</td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td>—</td></tr><tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td>—</td></tr><tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Stmt</td><td>—</td></tr><tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>—</td></tr><tr><td>146</td><td>790</td><td>246</td><td>395</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td>—</td></tr><tr><td>149</td><td>790</td><td>249</td><td>395</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td>—</td></tr><tr><td colspan="4">Other fee (specify) _____</td><td>—</td><td>—</td></tr><tr><td colspan="4">Other fee (specify) _____</td><td>—</td><td>—</td></tr><tr><td colspan="5">SUBTOTAL (3)</td><td>(\$) —</td></tr></tbody></table> <p>* Reduced by Basic Filing Fee Paid</p>		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	—	127	50	227	25	Surcharge - late provisional filing or cover sheet	—	139	130	139	130	Non-English specification	—	147	2,520	147	2,520	For filing a request for reexamination	—	112	920	112	920	Requesting publication of SIR prior to Examiner action	—	113	1,840	113	1,840	Requesting publication of SIR after Examiner action	—	115	110	215	55	Extension for response within first month	—	116	400	216	200	Extension for response within second month	—	117	950	217	475	Extension for response within third month	—	118	1,570	218	755	Extension for response within fourth month	—	119	310	219	155	Notice of Appeal	—	120	310	220	155	Filing a brief in support of an appeal	—	121	270	221	135	Request for oral hearing	—	138	1,510	138	1,510	Petition to institute a public use proceeding	—	140	110	240	55	Petition to revive unavoidably abandoned application	—	141	1,320	241	660	Petition to revive unintentionally abandoned application	—	142	1,320	242	660	Utility issue fee (or reissue)	—	143	450	243	225	Design issue fee	—	144	670	244	335	Plant issue fee	—	122	130	122	130	Petitions to the Commissioner	—	123	50	123	50	Petitions related to provisional applications	—	126	240	126	240	Submission of Information Disclosure Stmt	—	581	40	581	40	Recording each patent assignment per property (times number of properties)	—	146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	—	149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	—	Other fee (specify) _____				—	—	Other fee (specify) _____				—	—	SUBTOTAL (3)					(\$) —
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																												
105	130	205	65	Surcharge - late filing fee or oath	—																																																																																																																																																																												
127	50	227	25	Surcharge - late provisional filing or cover sheet	—																																																																																																																																																																												
139	130	139	130	Non-English specification	—																																																																																																																																																																												
147	2,520	147	2,520	For filing a request for reexamination	—																																																																																																																																																																												
112	920	112	920	Requesting publication of SIR prior to Examiner action	—																																																																																																																																																																												
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	—																																																																																																																																																																												
115	110	215	55	Extension for response within first month	—																																																																																																																																																																												
116	400	216	200	Extension for response within second month	—																																																																																																																																																																												
117	950	217	475	Extension for response within third month	—																																																																																																																																																																												
118	1,570	218	755	Extension for response within fourth month	—																																																																																																																																																																												
119	310	219	155	Notice of Appeal	—																																																																																																																																																																												
120	310	220	155	Filing a brief in support of an appeal	—																																																																																																																																																																												
121	270	221	135	Request for oral hearing	—																																																																																																																																																																												
138	1,510	138	1,510	Petition to institute a public use proceeding	—																																																																																																																																																																												
140	110	240	55	Petition to revive unavoidably abandoned application	—																																																																																																																																																																												
141	1,320	241	660	Petition to revive unintentionally abandoned application	—																																																																																																																																																																												
142	1,320	242	660	Utility issue fee (or reissue)	—																																																																																																																																																																												
143	450	243	225	Design issue fee	—																																																																																																																																																																												
144	670	244	335	Plant issue fee	—																																																																																																																																																																												
122	130	122	130	Petitions to the Commissioner	—																																																																																																																																																																												
123	50	123	50	Petitions related to provisional applications	—																																																																																																																																																																												
126	240	126	240	Submission of Information Disclosure Stmt	—																																																																																																																																																																												
581	40	581	40	Recording each patent assignment per property (times number of properties)	—																																																																																																																																																																												
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	—																																																																																																																																																																												
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	—																																																																																																																																																																												
Other fee (specify) _____				—	—																																																																																																																																																																												
Other fee (specify) _____				—	—																																																																																																																																																																												
SUBTOTAL (3)					(\$) —																																																																																																																																																																												
<p>1. FILING FEE</p> <table><thead><tr><th>Large Entity Fee Code</th><th>Large Entity Fee (\$)</th><th>Small Entity Fee Code</th><th>Small Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101</td><td>770</td><td>201</td><td>355</td><td>Utility filing fee</td><td><u>385.00</u></td></tr><tr><td>106</td><td>330</td><td>206</td><td>165</td><td>Design filing fee</td><td>—</td></tr><tr><td>107</td><td>540</td><td>207</td><td>270</td><td>Plant filing fee</td><td>—</td></tr><tr><td>108</td><td>790</td><td>208</td><td>395</td><td>Reissue filing fee</td><td>—</td></tr><tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td>—</td></tr><tr><td colspan="5">SUBTOTAL (1)</td><td>(\$) <u>385.00</u></td></tr></tbody></table> <p>2. CLAIMS</p> <table><thead><tr><th></th><th>Extra</th><th>Fee from below</th><th></th><th>Fee Paid</th></tr></thead><tbody><tr><td>Total Claims <u>20</u> - 20 =</td><td><u>0</u></td><td>X <u>18</u></td><td>=</td><td><u>0.00</u></td></tr><tr><td>Independent <u>3</u> - 3 =</td><td><u>0</u></td><td>X <u>86</u></td><td>=</td><td><u>0.00</u></td></tr><tr><td>Claims</td><td></td><td></td><td></td><td></td></tr><tr><td>Multiple Dependent Claims</td><td><u>0</u></td><td>X <u>0</u></td><td>=</td><td><u>0</u></td></tr></tbody></table> <table><thead><tr><th>Large Entity Fee Code</th><th>Large Entity Fee (\$)</th><th>Small Entity Fee Code</th><th>Small Entity Fee (\$)</th><th>Fee Description</th></tr></thead><tbody><tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr><tr><td>102</td><td>86</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td></tr><tr><td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple dependent claim</td></tr><tr><td>109</td><td>82</td><td>209</td><td>41</td><td>Reissue independent claims over original patent</td></tr><tr><td>110</td><td>22</td><td>210</td><td>11</td><td>Reissue claims in excess of 20 and over original patent</td></tr><tr><td colspan="5">SUBTOTAL (2)</td><td>(\$) <u>0.00</u></td></tr></tbody></table>		Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	101	770	201	355	Utility filing fee	<u>385.00</u>	106	330	206	165	Design filing fee	—	107	540	207	270	Plant filing fee	—	108	790	208	395	Reissue filing fee	—	114	150	214	75	Provisional filing fee	—	SUBTOTAL (1)					(\$) <u>385.00</u>		Extra	Fee from below		Fee Paid	Total Claims <u>20</u> - 20 =	<u>0</u>	X <u>18</u>	=	<u>0.00</u>	Independent <u>3</u> - 3 =	<u>0</u>	X <u>86</u>	=	<u>0.00</u>	Claims					Multiple Dependent Claims	<u>0</u>	X <u>0</u>	=	<u>0</u>	Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	103	18	203	9	Claims in excess of 20	102	86	202	40	Independent claims in excess of 3	104	270	204	135	Multiple dependent claim	109	82	209	41	Reissue independent claims over original patent	110	22	210	11	Reissue claims in excess of 20 and over original patent	SUBTOTAL (2)					(\$) <u>0.00</u>																																																																									
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																												
101	770	201	355	Utility filing fee	<u>385.00</u>																																																																																																																																																																												
106	330	206	165	Design filing fee	—																																																																																																																																																																												
107	540	207	270	Plant filing fee	—																																																																																																																																																																												
108	790	208	395	Reissue filing fee	—																																																																																																																																																																												
114	150	214	75	Provisional filing fee	—																																																																																																																																																																												
SUBTOTAL (1)					(\$) <u>385.00</u>																																																																																																																																																																												
	Extra	Fee from below		Fee Paid																																																																																																																																																																													
Total Claims <u>20</u> - 20 =	<u>0</u>	X <u>18</u>	=	<u>0.00</u>																																																																																																																																																																													
Independent <u>3</u> - 3 =	<u>0</u>	X <u>86</u>	=	<u>0.00</u>																																																																																																																																																																													
Claims																																																																																																																																																																																	
Multiple Dependent Claims	<u>0</u>	X <u>0</u>	=	<u>0</u>																																																																																																																																																																													
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description																																																																																																																																																																													
103	18	203	9	Claims in excess of 20																																																																																																																																																																													
102	86	202	40	Independent claims in excess of 3																																																																																																																																																																													
104	270	204	135	Multiple dependent claim																																																																																																																																																																													
109	82	209	41	Reissue independent claims over original patent																																																																																																																																																																													
110	22	210	11	Reissue claims in excess of 20 and over original patent																																																																																																																																																																													
SUBTOTAL (2)					(\$) <u>0.00</u>																																																																																																																																																																												

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	David K. Williams	Reg. Number	
Signature		Date	
		Deposit Acct. User ID	